

Compliance Check-“ In good standing”

During the application compliance check, Komen Dallas County may determine whether the organization submitting an application is in good-standing. In good standing for this purpose is defined below. To be in good standing, grantees should be in compliance with their current signed grant contract agreements with Susan G. Komen® Dallas County; adhering to **all** administrative, programmatic, and fiscal contractual requirements.

Category	Definition	In Good Standing	Not In Good Standing
<p>Reporting</p> <ul style="list-style-type: none"> - Timely reporting - Meets Goals and Objectives 	<p>As a current/previously funded grantee, the last required progress and final reports were approved, as well as any additional reports required by the Affiliate. Reports are generally approved when grantee:</p> <ul style="list-style-type: none"> - Submits them at due date or receives an approved extension prior to due date (including financial documentation as required) - Meets Goals and Objectives outlined in their application unless adequately justified (e.g. 6-month report goals below 40%); might include excessive grant amendment requests that alter the spirit of the original application, unless adequately justified - Uses approved funds appropriately as outlined in the grant application (might include excessive returned funds) - All unspent funds over \$1.00 are returned to the Affiliate by the due date, along with the final report - All terms and conditions of the grant contract were followed 	<ul style="list-style-type: none"> - Last progress and final reports (as well as any other reports required by Affiliate) were submitted on time and approved - Financial documentation (e.g. itemized receipts) were submitted with reports as required by Affiliate - All unspent funds are returned to the Affiliate by the due date 	<ul style="list-style-type: none"> - Last progress and final reports (as well as any other reports required by Affiliate) were not submitted by deadline and/or not approved - Reports are consistently late and/or not complete with information required by Affiliate - Itemized receipts and other financial documentation were not submitted with reports, as required - Unspent funds were not returned

			to the Affiliate and/or were not returned by the required deadline in grant contract
Rescinded funding/Termination of Contract	<ul style="list-style-type: none"> - Grant programs that have been identified by the Affiliate, as no longer viable, for which the grant contract is terminated early and grant funds may or may not be requested for return - Grantee may choose to terminate the grant contract early, in which grant funds may or may not be requested for return 	<ul style="list-style-type: none"> - No history of rescinded funds due to poor performance or mismanagement of grant funds - Grantee returned all unspent funds (as applicable) to the Affiliate, within the timeframe outlined in grant contract 	<ul style="list-style-type: none"> - Funds were rescinded from the last grant cycle because the program was no longer viable and contract was terminated - Organization has not satisfactorily documented how they will improve the viability of the program. - Grantee did not follow all terms and conditions of the grant contract - Grantee did not return grant funds (as applicable) within the timeframe outlined in grant contract
Returning to “In Good Standing” status after a contract has been rescinded/terminated	<ul style="list-style-type: none"> - An organization must provide written documentation describing what changes occurred in management, and internal processes that rectified the flaws in its rescinded grant contract (e.g. if funded again, how will the 	<ul style="list-style-type: none"> - Organization is approved to submit a grant application in 	<ul style="list-style-type: none"> - Organization is not approved to submit a grant application, until

	<p>organization ensure the project is successful)</p> <ul style="list-style-type: none"> - The organization will be eligible to submit a new grant application during the next Request for Applications grant cycle, contingent upon the written approval of the Affiliate 	<p>the next Request for Applications grant cycle</p>	<p>“Good Standing” status is approved by the Affiliate in writing</p>
Corrective action*	<ul style="list-style-type: none"> - An action taken to address grant performance and insufficiencies that are negatively affecting grantee’s ability to meet the obligations of their grant agreement. 	<ul style="list-style-type: none"> - Applicant is not currently under a written warning and is in compliance with all terms of the grant contract. 	<ul style="list-style-type: none"> - Applicant is currently under a form of written warning and/or not in compliance with all terms of the grant contract.

*There are several steps involved in Corrective Action, and while Komen Dallas County reserves the right to terminate a contract based on a major contract breach immediately, a series of corrective measures should be taken first.

1. Verbal Warning
2. Written Warning- signed and acknowledged by Grantee and Affiliate, corrective action plan put in place
3. Written Warning- formal letter from local legal counsel seeking to cure breach
4. Termination of contract